

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: HUI-JEN TSAI

Application No.: New

Filed: January 20, 2004

FOR: RECOMBINANT PLASMID EXPRESSING TWO FLUORESCENCE GENES

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INFORMATION DISCLOSURE STATEMENT  
(SUBMISSION CONCURRENT WITH THE  
FILING OF A NEW PATENT APPLICATION)

January 20, 2004

**MAIL STOP NEW APPLICATION**  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- ☒ Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- ☐ This application is a National Phase of a PCT application. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should be forwarded from the International Search Authority. If copies are needed, please contact the undersigned.

- ☐ Because the present application is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.

III. CONCISE EXPLANATION OF THE RELEVANCE  
(check at least one box)

a. ☒ **DOCUMENTS IN THE ENGLISH LANGUAGE**

The attached patents, publications, or other information in the English language do not require a statement of relevancy.

b. ☐ **DOCUMENTS NOT IN THE ENGLISH LANGUAGE**

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

c. ☐ **OTHER**

For the Examiner's convenience, we attach hereto U.S. Patent No. \_\_\_\_\_, which is the corresponding U.S. equivalent of \_\_\_\_\_. Submission of the English language equivalent(s) is deemed to satisfy the requirement for a concise explanation of relevancy.

FEES

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If The Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 08-0750.

New U.S. Application  
Docket No. 8961-000009/US

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 08-0750 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Respectfully submitted,

HARNESS, DICKEY & PIERCE, P.L.C

By:



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JAC:jj

Enclosures: ☒ Form PTO-1449(s)  
☒ Documents  
☐ Fee

<p>FORM HDP-1449 (Based on Form PTO-1449)</p> <p>PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p> <p>Sheet 1 of 1</p>	ATTORNEY DOCKET NO.	SERIAL NO.
	8961-000009/US	NEW
	APPLICANT	
	Huai-Jen TSAI	
	FILING DATE	GROUP
	January 20, 2004	N/A

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation	
						Yes	No

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
		JYH-YIH CHEN, et al., " Isolation and Characterization of Tilapia ( <i>Oreochromismossambicus</i> ) Insulin-Like Growth Factors Gene and Proximal Promoter Region, DNA AND CELL BIOLOGY, Vol. 17, Number 4, 1998, Mary Ann Liebert, Inc. P. 359-376
		Keiko Hamada, et al., " Usefulness of the medaka $\beta$ -action promoter investigated using a mutant GFP reporter gene in transgenic medaka ( <i>Oryzias latipes</i> ), Molecular Marine Biology and Biotechnology (1998) 7(3), 173-180
		Jennifer Barnett Moss, et al., " Green Fluorescent protein marks skeletal muscle I murine cell line and zebrafish", Gene. 173 (1996) 89-98

JAC:jj

Examiner:	Date Considered:
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EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.